

Unsafe Driving Violations

Table A-1. SMS Unsafe Driving BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight
177.804B	Failure to comply with 49 CFR Section 392.80 Texting While Operating a CMV When Transporting Select Agents or Toxins or HM Requiring Placarding	Texting	10
177.804B1 ²	Failure to comply with the Safe Clearance requirements for highway-rail grade crossings in 49 CFR Section 392.12	Dangerous Driving	5
177.804B2 ²	Failure to comply with 49 CFR Section 392.80 Texting While Operating a CMV when transporting select agents or toxins or HM requirement Placarding	Texting	10
177.804B3 ²	Failure to comply with 49 CFR Section 392.82 Using a Handheld Mobile Phone While Operating a CMV when transporting select agents or toxins or HM requiring placard	Phone Call	10
177.804C	Failure to comply with 49 CFR Section 392.82 Using a Handheld Mobile Phone While Operating a CMV When Transporting Select Agents or Toxins or HM Requiring Placard	Phone Call	10
390.17DT	Operating a CMV while texting	Texting	10
390.33-XS ^u	Operating a Motor Coach or other Passenger Carrying vehicle with seating, secured or unsecured, in excess of the manufacturer's (manufacturer, remanufacturer, or final stage manufacturer) designed seating capacity.	Other Driver Violations	1
392.2C	Failure to obey traffic control device	Dangerous Driving	5
392.2DH	Headlamps - Failing to dim when required	Misc Violations	3
392.2FC	Following too close	Dangerous Driving	5
392.2-INAT ^v	Inattentive Driving	Dangerous Driving	5
392.2LC	Improper lane change	Dangerous Driving	5

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392.2LV	Lane Restriction violation	Misc Violations	3
392.2-ML ^U	Failure to Maintain Lane	Dangerous Driving	5
392.2P	Improper passing	Dangerous Driving	5
392.2PK	Unlawfully parking and/or leaving vehicle in the roadway	Other Driver Violations	1
392.2R	Reckless driving	Reckless Driving	10
392.2RR	Railroad Grade Crossing violation	Dangerous Driving	5
392.2-SLLS2	State/Local Laws - Speeding 6-10 miles per hour over the speed limit.	Speeding 2	4
392.2-SLLS3	State/Local Laws - Speeding 11-14 miles per hour over the speed limit.	Speeding 3	7
392.2-SLLS4	State/Local Laws - Speeding 15 or more miles per hour over the speed limit.	Speeding 4	10
392.2-SLLSWZ	State/Local Laws - Speeding work/construction zone.	Speeding 4	10
392.2-SLLT	State/Local Laws - Operating a CMV while texting	Texting	10
392.2T	Improper turns	Dangerous Driving	5
392.2Y	Failure to yield right of way	Dangerous Driving	5
392.6	Scheduling a run which would necessitate the vehicle being operated at speeds in excess of the prescribed	Speeding Related	5
392.10(a)(1)	Failure to stop at railroad crossing - Bus transporting passengers	Dangerous Driving	5
392.10(a)(2)	Failure to stop at railroad crossing - CMV transporting Division 2.3 Chlorine	Dangerous Driving	5
392.10(a)(3)	Failure to stop at railroad crossing - CMV requiring display of HM placards	Dangerous Driving	5

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Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight
392.10(a)(4)	Failure to stop at railroad crossing - HM Cargo Tank vehicle	Dangerous Driving	5
392.11	Commercial Vehicle failing to slow down approaching a railroad crossing.	Dangerous Driving	5
392.14	Failed to use caution for hazardous condition	Dangerous Driving	5
392.16	Failing to use seat belt while operating a CMV	Seat Belt	7
392.16B ^Δ	Operating a property-carrying commercial motor vehicle while all other occupants are not properly restrained.	Seat Belt	7
392.22(a)	Failing to use hazard warning flashers	Other Driver Violations	1
392.60(a)	Unauthorized passenger on board CMV	Other Driver Violations	1
392.62	Unsafe bus operations	Other Driver Violations	1
392.62(a)	All standees on a bus are to be rearward of the white standee line	Other Driver Violations	1
392.71(a)	Using or equipping a CMV with radar detector	Speeding Related	5
392.80(a)	Driving a commercial motor vehicle while Texting	Texting	10
392.82(a)(1)	Using a hand-held mobile telephone while operating a CMV	Phone Call	10
392.82(a)(2)	Allowing or requiring a driver to use a hand-held mobile telephone while operating a CMV	Phone Call	10
397.3	State/local laws ordinances regulations	HM Related	1
397.13	Smoking within 25 ft of HM vehicle	HM Related	1
398.4	Driving of vehicles - Transportation of Migrant Workers	Other Driver Violations	1

Hours of Service Violations

Table A-2. SMS Hours-of-Service Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
392.2H	State/Local Hours of Service	Hours	7
392.3	Operating a CMV while ill or fatigued	Jumping OOS/Driving Fatigued	10
392.3-FPASS	Fatigue - Operate a passenger-carrying CMV while impaired by fatigue.	Jumping OOS/Driving Fatigued	10
392.3-FPROP	Fatigue - Operate a property-carrying CMV while impaired by fatigue.	Jumping OOS/Driving Fatigued	10
392.3-I	Illness - Operate a CMV while impaired by illness or other cause.	Jumping OOS/Driving Fatigued	10
395.1(h)(1)	Violation of 15, 20, 70/80 Hours of Service rules for Alaska drivers of Property	Hours	7
395.1(h)(2)	Violation of 15, 20, 70/80 Hours of Service rules for Alaska drivers of Passengers	Hours	7
395.3A1R	11 hour rule violation (Property)	Hours	7
395.3A2R	14 hour rule violation (Property)	Hours	7
395.3A2-PROP	Driving beyond 14 hour duty period (Property carrying vehicle)	Hours	7
395.3A2-PROPN ^ψ	Driving beyond 14 hour duty period (Property carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.3A3-PROP ⁶	Driving beyond 11 hour driving limit. (Property Carrying Vehicle)	Hours	7
395.3A3-PROPN ^ψ	Driving beyond 11 hour driving limit in a 14 hour period. (Property carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.3(a)(3)(ii) ⁶	Driving beyond 8 hour driving limit since the end of the last on duty, off duty, or sleeper period of at least 30 minutes	Hours	7
395.3B1-PROP	Driving after 60 hours on duty in a 7 day period. (Property carrying vehicle)	Hours	7
395.3B1-PROPN ^ψ	Driving after 60 hours on duty in a 7 day period. (Property carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.3B2	Driving after 70 hours on duty in a 8 day period. (Property carrying vehicle)	Hours	7
395.3B2-NOM ^ψ	Driving after 70 hours on duty in a 8 day period. (Property carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.3BR	60/70 - hour rule violation (Property)	Hours	7

[Table A-2. SMS Hours-of-Service Compliance BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
395.5(a)(1)	10 - hour rule violation (Passenger)	Hours	7
395.5A1-PASS	Driving after 10 hour driving limit (Passenger carrying vehicle)	Hours	7
395.5A1-PASSN ^ψ	Driving after 10 hour driving limit (Passenger carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.5(a)(2)	15 - hour rule violation (Passenger)	Hours	7
395.5A2-PASS	Driving after 15 hours on duty (Passenger carrying vehicle)	Hours	7
395.5A2-PASSN ^ψ	Driving after 15 hour driving limit (Passenger carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.5B1-PASS	Driving after 60 hours on duty in a 7 day period. (Passenger carrying vehicle)	Hours	7
395.5B1-PASSN ^ψ	Driving after 60 hours on duty in a 7 day period. (Passenger carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.5B2-PASS	Driving after 70 hours on duty in a 8 day period. (Passenger carrying vehicle)	Hours	7
395.5B2-PASSN ^ψ	Driving after 70 hours on duty in a 8 day period. (Passenger carrying vehicle) - Nominal Violation	Hours, Nominal	1
395.8	Record of Duty Status violation (general/form and manner)	Other Log/Form & Manner	1
395.8(a)	No drivers record of duty status when one is required	Incomplete/Wrong Log	5
395.A-ELD ⁴	ELD - No record of duty status (ELD Required)	Incomplete/Wrong Log	5
395.8A-NON-ELD ⁴	No record of duty status when one is required (ELD Not Required)	Incomplete/Wrong Log	5
395.8A1 ⁴	Not using the appropriate method to record hours of service	Incomplete/Wrong Log	5
395.8(e)	False report of drivers record of duty status	False Log	7
395.8E1PC ⁷	False Record of Duty Status - Improper use of Personal Conveyance Exception	False Log	7
395.8(f)(1)	Driver's record of duty status not current	Incomplete/Wrong Log	5
395.8F01 ^ψ	Drivers record of duty status not current	Incomplete/Wrong Log	5
395.8(k)(2)	Driver failing to retain previous 7 days records of duty status	Incomplete/Wrong Log	5

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Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
395.11G ⁴	Failing to provide supporting documents in the driver's possession upon request	False Log	7
395.13(d)	Driving after being declared out-of-service for HOS violation(s)	Jumping OOS/Driving Fatigued	10
395.15A2 ^ψ	Driver failed to use automatic on-board recording device when required by the motor carrier.	Incomplete/Wrong Log	5
395.15(b)	Onboard recording device information requirements not met	Incomplete/Wrong Log	5
395.15B2 ^ψ	Automatic on-board recording device failed to provide means to immediately check drivers hours of service as required.	EOBR Related	1
395.15(c)	Onboard recording device improper form and manner	Other Log/Form & Manner	1
395.15D2 ^ψ	Driver failed to produce location identifier codes for AOBRD as required.	Other Log/Form & Manner	1
395.15(f)	Onboard recording device failure: Driver failed to reconstruct info	Incomplete/Wrong Log	5
395.15(g)	Onboard recording device info not available	EOBR Related	1
395.15G01 ^ψ	Driver failed to have instructions on-board CMV for installed automatic on-board recording device.	EOBR Related	1
395.15G02 ^ψ	Driver failed to have on-board a CMV a sufficient supply of blank records of duty status graph-grids.	EOBR Related	1
395.15(i)(5)	Onboard recording device does not display required information	Other Log/Form & Manner	1
395.15I9 ^ψ	Driver not adequately trained in the operation of the automatic on-board recording device.	EOBR Related	1
395.20B ⁶	The ELDs display screen cannot be viewed outside of the commercial motor vehicle.	Incomplete/Wrong Log	5
395.22A ⁴	Operating with a device that is not registered with FMCSA	Incomplete/Wrong Log	5
395.22(b)(2)(ii) ⁸	Motor carrier failed to include required user identification data in ELD account.	Other Log/Form & Manner	1
395.22G ⁴	Portable ELD not mounted in a fixed position and visible to driver	EOBR-Related	1
395.22H1 ⁴	Driver failing to maintain ELD user's manual	EOBR-Related	1

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Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
395.22H2 ⁴	Driver failing to maintain ELD instruction sheet	EOBR-Related	1
395.22H3 ⁴	Driver failed to maintain instruction sheet for ELD malfunction reporting requirements	EOBR-Related	1
395.22H4 ⁶	Driver failed to maintain supply of blank drivers records of duty status graph-grids	EOBR-Related	1
395.24C1I ⁴	Driver failed to make annotations when applicable	Other Log/Form & Manner	1
395.24C1II ⁴	Driver failed to manually add location description	Other Log/Form & Manner	1
395.24C1III ⁶	Driver failed to add file comment per safety officers request	Other Log/Form & Manner	1
395.24C2I ⁴	Driver failed to manually add CMV power unit number	Other Log/Form & Manner	1
395.24C2II ⁴	Driver failed to manually add the trailer number	Other Log/Form & Manner	1
395.24C2III ⁴	Driver failed to manually add shipping document number	Other Log/Form & Manner	1
395.24(d) ⁹	ELD cannot transfer ELD records electronically	Failure to Transfer ELD Records Electronically	3
395.26B ⁵	Motor carrier failed to ensure that the ELD automatically recorded the required data elements.	Other Log/Form & Manner	1
395.28	Driver failed to select/deselect or annotate a special driving category or exempt status	Other Log/Form & Manner	1
395.30B1 ⁴	Driver failed to certify the accuracy of the information gathered by the ELD	Other Log/Form & Manner	1
395.30C ⁴	Failing to follow the prompts from the ELD when editing/adding missing information	Other Log/Form & Manner	1
395.32B ⁴	Driver failed to assume or decline unassigned driving time	Incomplete/Wrong Log	5
395.34A1 ⁴	Failing to note malfunction that requires use of paper log	Incomplete/Wrong Log	5
398.6	Violation of Hours of Service regulations for Transportation of Migrant Workers	Hours	7

Vehicle Maintenance Violations

[Table A-3. SMS Vehicle Maintenance BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight²
385.103(c)	Failure to display a current CVSA decal: Mexico-domiciled carrier with Provisional Operating Authority	Inspection Reports		4
392.2WC	Wheel (Mud) Flaps missing or defective	Windshield/ Glass/ Markings		1
392.7	No pre-trip inspection	Inspection Reports		4
392.7(a)	Driver failing to conduct pre-trip inspection	Inspection Reports		4
392.7(b) ³	Driver failing to conduct a pre-trip inspection of Intermodal Equipment	Inspection Reports		4
392.8	Failing to inspect/use emergency equipment	Emergency Equipment		2
392.9	Driver may not operate a CMV without proper load securement	General Securement	7	1
392.9(a)	Failing to secure load	General Securement	7	1
392.9(a)(1)	Failing to secure cargo as specified in 49 CFR 393.100 through 393.142	General Securement	7	1
392.9(a)(2)	Failing to secure vehicle equipment	General Securement	7	1
392.9(a)(3)	Drivers view and/or movement is obstructed	General Securement	7	1
392.22(b)	Failure to place or improper placement of warning devices on the road surface	Cab, Body, Frame		2
392.33	Operating CMV with lamps/reflectors obscured	Lighting		6
392.62(c)(1)	Bus - baggage/freight restricts driver oper	General Securement	7	1
392.62(c)(2)	Bus - Exit(s) obstructed by baggage/freight	General Securement	7	1
392.62(c)(3)	Passengers not protected from falling baggage	General Securement	7	1
392.63	Pushing/towing a loaded bus	Towing Loaded Bus		10
392.64	Riding within the closed body of a commercial vehicle without exits	Towing Loaded Bus		10
393.9	Inoperable Required Lamp	Clearance Identification Lamps/Other		2
393.9BRKLAMP ³	Inoperative Brake Lamps	Lighting		6
393.9H	Inoperable head lamps	Lighting		6
393.9T	Inoperable tail lamp	Lighting		6

[Table A-3. SMS Vehicle Maintenance BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight²
393.9TS	Inoperative turn signal	Lighting		6
393.11	No or defective lighting devices or reflective material as required	Reflective Sheeting		3
393.11LR	No Lower rear retroreflective sheeting or reflex reflective materials as required for vehicles manufactured after December 1993	Reflective Sheeting		3
393.11N	No retroreflective sheeting or reflex reflective materials as required for vehicles manufactured after December 1993	Reflective Sheeting		3
393.11RT	Retroreflective material not affixed as required for trailers manufactured after December 1993	Reflective Sheeting		3
393.11S	Side retroreflective sheeting or reflex reflector requirements for vehicles manufactured after December 1993	Reflective Sheeting		3
393.11TL	Truck-Tractor lower rear mud flaps retroreflective sheeting / reflex reflective material requirements for vehicles manufactured after July 1997	Reflective Sheeting		3
393.11TT	Truck-Tractor with No retroreflective sheeting or reflex reflective material on vehicle manufactured after July 1997	Reflective Sheeting		3
393.11TU	Truck-Tractor upper body corner requirements for retroreflective sheeting or reflex reflective material for vehicles manufactured after July 1997	Reflective Sheeting		3
393.11UR	Upper Rear retroreflective sheeting or reflex reflecting material requirements for vehicles manufactured after December 1993	Reflective Sheeting		3
393.13(a)	Retroreflective tape not affixed as required for Trailers manufactured prior to December 1993	Reflective Sheeting		3
393.13(b)	No retroreflective sheeting or reflex reflective material as required for vehicles manufactured before December 1993	Reflective Sheeting		3

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

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393.13(c)(1)	No Side retroreflective sheeting or reflex reflective material as required for vehicles manufactured before December 1993	Reflective Sheeting		3
393.13(c)(2)	No Lower Rear retroreflective sheeting or reflex reflective material as required for vehicles manufactured before December 1993	Reflective Sheeting		3
393.13(c)(3)	No Upper Rear retroreflective sheeting or reflex reflective material as required for vehicles manufactured before December 1993	Reflective Sheeting		3
393.13(d)(1)	Improper Side Placement of retroreflective sheeting or reflex reflective material as required for vehicles manufactured before December 1993	Reflective Sheeting		3
393.13(d)(2)	Improper Lower Rear Placement of retroreflective sheeting or reflex reflective material requirements for vehicles manufactured before December 1993	Reflective Sheeting		3
393.13(d)(3)	Upper rear retroreflective sheeting or reflex reflective material as required for vehicles manufactured before December 1993	Reflective Sheeting		3
393.17	No/defective lamp/reflector-towaway operation	Lighting		6
393.17(a)	No/defective lamps-towing unit-towaway operation	Lighting		6
393.17(b)	No/defective towaway lamps on rear unit	Lighting		6
393.19	Inoperative/Defective Hazard Warning Lamp	Lighting		6
393.23	Required lamp not powered by vehicle electric	Clearance Identification Lamps/Other		2
393.23PT	All required lamps on towed vehicle inoperative due to no electrical connection	Clearance Identification Lamps/Other		2
393.24(a)	Non-compliance with headlamp requirements	Lighting		6
393.24(b)	Noncompliant fog/driving lamps	Lighting		6
393.24BR	Non-compliant fog or driving lamps	Lighting		6

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

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393.24(c)	Improper Headlamp mounting	Lighting		6
393.24(d)	Improper Head / Auxiliary / Fog lamp aiming	Lighting		6
393.25(a)	Improper Lamp Mounting	Lighting		6
393.25(b)	Lamps are not visible as required	Lighting		6
393.25(e)	Lamp not steady burning	Lighting		6
393.25(f)	Stop lamp violations	Lighting		6
393.26	Requirements for reflectors	Reflective Sheeting		3
393.28	Improper or no wiring protection as required	Other Vehicle Defect		3
393.30	Improper battery installation	Other Vehicle Defect		3
393.40	Inadequate brake system on a CMV	Brakes, All Others		4
393.40B ⁴	Brakes - no pedal reserve	Brakes, All Others		4
393.41	No or defective parking brake system on CMV	Brakes, All Others		4
393.42	No brakes as required - Explain:	Brakes, All Others		4
393.42A-BM	Brake - Missing required brake.	Brakes, All Others		4
393.42A-BMAW	Brake - All wheels not equipped with brakes as required.	Brakes, All Others		4
393.42A-BM-TSA	Brake - Missing on a trailer steering axle.	Brakes, All Others		4
393.43	No/improper breakaway or emergency braking	Brakes, All Others		4
393.43(a)	No/improper tractor protection valve	Brakes, All Others		4
393.43(d)	No or defective automatic trailer brake	Brakes, All Others		4
393.44	No or defective bus front brake line protection	Brakes, All Others		4
393.45	Brake tubing and hose adequacy	Brakes, All Others		4
393.45A-AJS ⁴	Air Brake tubing improperly joined or spliced	Breaks, All Others		4
393.45A-HBL ⁴	Hydraulic Brake - leaking on application	Breaks, All Others		4
393.45A-HJS ^ψ	Hydraulic Brake tubing improperly joined or spliced	Brakes, All Others		4
393.45PC	Brake Tubing and Hose Adequacy - Connections to Power Unit	Brakes, All Others		4
393.45UV	Brake Tubing and Hose Adequacy Under Vehicle	Brakes, All Others		4

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.45(b)(2)	Brake hose or tubing chafing and/or kinking	Brakes, All Others		4
393.45B2PC	Brake Hose or Tubing Chafing and/or Kinking - Connection to Power Unit	Brakes, All Others		4
393.45B2UV	Brake Hose or Tubing Chafing and/or Kinking Under Vehicle	Brakes, All Others		4
393.45(b)(3)	Brake hose or tubing contacting exhaust system	Brakes, All Others		4
393.45(d)	Brake connections with leaks or constrictions	Brakes, All Others		4
393.45DCPC	Brake Connections with Constrictions - Connection to Power Unit	Brakes, All Others		4
393.45DCUV	Brake Connections with Constrictions Under Vehicle	Brakes, All Others		4
393.45DLPC	Brake Connections with Leaks - Connection to Power Unit	Brakes, All Others		4
393.45DLUV	Brake Connections with Leaks Under Vehicle	Brakes, All Others		4
393.47(a) ⁵	Inadequate brakes for safe stopping - Brake Lining condition	Brakes, All Others		4
393.47A-CD ⁴	Brake drum with external crack or crack that opens upon application	Brakes, All Others		4
393.47A-CR ⁴	Rotor (disc) cracked more than 75 percent of the friction surface completely through the rotor or completely through a solid rotor or completely through a structural support	Brakes, All Others		4
393.47A-RM ⁴	Brake rotor or drum - piece missing or in danger of falling away	Brakes, All Others		4
393.47A-RW ⁴	Brake rotor worn to or through center vents	Brakes, All Others		4
393.47(b)	Mis-matched brake chambers on same axle	Brakes, All Others		4
393.47(c)	Mis-matched slack adjuster effective length	Brakes, All Others		4
393.47(d) ⁵	All Brakes - Insufficient brake lining thickness	Brakes, All Others		4
393.47(e)	Clamp or Roto type brake out-of-adjustment	Brakes Out of Adjustment		4
393.47(f)	Wedge type brake(s) out-of-adjustment	Brakes Out of Adjustment		4
393.47(g)	Insufficient Brake Drum or Rotor thickness	Brakes, All Others		4

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.48(a)	Inoperative/defective brakes	Brakes, All Others		4
393.48A-BCM	Brakes - Hydraulic Brake Caliper movement exceeds 1/8" (0.125") (3.175 mm)	Brakes, All Others		4
393.48A-BMBC ⁵	All Brakes - Missing or Broken Components including Pad Retaining Components and loose or missing caliper mounting bolt(s)	Brakes, All Others		4
393.48A-BRMMC	Brakes - Rotor (disc) metal-to-metal contact	Brakes, All Others		4
393.48A-BS ⁴	Brake - Smoking	Brakes, All Others		4
393.48A-BSRFS	Brakes - Severe rusting of brake rotor (disc)	Brakes, All Others		4
393.48(b)(1)	Defective brake limiting device	Brakes, All Others		4
393.5	Inadequate reservoir for air/vacuum brakes	Brakes, All Others		4
393.50(a)	Failing to have sufficient air/vacuum reserve	Brakes, All Others		4
393.50(b)	Failing to equip vehicle air brake system with adequate reserve capacity or reservoir	Brakes, All Others		4
393.50(c)	No means to ensure operable check valve	Brakes, All Others		4
393.50(d)	No/Defective air reservoir drain valve	Brakes, All Others		4
393.51 ⁵	No or defective brake warning device or pressure gauge	Brakes, All Others		4
393.52(a)(1)	Insufficient Braking Force as a Percentage of Gross Vehicle Weight or Gross Combination Weight	Brakes, All Others		4
393.53(a)	No Automatic Brake Adjuster for Hydraulic Brake Systems for vehicle manufactured on or after 10/20/1993	Brakes, All Others		4
393.53(b)	CMV manufactured after 10/19/94 has an automatic airbrake adjustment system that fails to compensate for wear	Brakes, All Others		4
393.53BMAN ^ψ	CMV manufactured after 10/20/1994 is not equipped with automatic air brake adjusters.	Brakes, All Others		4
393.53(c)	No or Defective Brake Adjustment Indicator on Air Brake System for vehicle manufactured after 10/19/1994	Brakes, All Others		4

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393.55(a)	ABS required on all CMVs with hydraulic brakes manufactured after February 1999	Brakes, All Others		4
393.55(b)	ABS malfunction indicators for hydr brake sys	Brakes, All Others		4
393.55(c)(1)	Truck Tractor manufactured on or after March 1, 1997 not equipped with an antilock brake system.	Brakes, All Others		4
393.55(c)(2)	CMV other than truck-tractor manufactured on or after March 1, 1998 not equipped with an antilock brake system.	Brakes, All Others		4
393.55(d)(1)	CMV not equipped with ABS malfunction circuit or signal (Truck-Tractor mfg on/after 3/1/1997; Straight Truck mfg on/after 3/1/1998)	Brakes, All Others		4
393.55(d)(2)	CMV manufactured on/after 3/1/2001 not equipped with ABS malfunction circuit / lamp from towed vehicle in cab.	Brakes, All Others		4
393.55(d)(3)	No or Defective ABS Malfunction Indicator for towed vehicles on vehicles manufactured after February 2001	Brakes, All Others		4
393.55(e)	No or Defective ABS Malfunction Indicator Lamp for trailer manufactured after 03/01/1998	Brakes, All Others		4
393.60EWS	Windshield - Obstructed	Windshield/ Glass/ Markings		1
393.60(b)	Each bus and truck shall be equipped with a windshield	Windshield/ Glass/ Markings		1
393.60(c)	Damaged or discolored windshield	Windshield/ Glass/ Markings		1
393.60(d)	Glazing permits < 70% of light	Windshield/ Glass/ Markings		1
393.61	Inadequate or missing truck side windows	Windshield/ Glass/ Markings		1
393.62(a)	No or Defective bus emergency exits	Windshield/ Glass/ Markings		1
393.62(b)	No or defective bus emergency exits, manufactured on or after 9/1/1973 but before 9/1/1994	Windshield/ Glass/ Markings		1

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.62(c)	No or Defective bus emergency exit windows	Windshield/ Glass/ Markings		1
393.62(d)	No or Defective Safety glass and/or push-out window	Windshield/ Glass/ Markings		1
393.62(e)	No or inadequate bus emergency exit marking	Windshield/ Glass/ Markings		1
393.65	Fuel system requirements	Fuel Systems		1
393.65(b)	Improper location of fuel system	Fuel Systems		1
393.65(c)	Improper securement of fuel tank	Fuel Systems		1
393.65(f)	Improper fuel line protection	Fuel Systems		1
393.67	Fuel tank requirement violations	Fuel Systems		1
393.67(c)(7)	Fuel tank fill pipe cap missing	Fuel Systems		1
393.67(c)(8)	Improper fuel tank safety vent	Fuel Systems		1
393.68	CNG Fuel Container does not conform to regulations	Other Vehicle Defect		3
393.7	Fifth wheel	Coupling Devices		3
393.70(a)	Defective coupling device-improper tracking	Coupling Devices		3
393.70(b)	Defective/improper fifth wheel assemblies	Coupling Devices		3
393.70B1I ^{Ψ5}	Defective latching fasteners - Fasteners on either side of the vehicle are missing or ineffective	Coupling Devices		3
393.70B1I-C ^Ψ	Fifth wheel cracked or a gap caused by corrosion 1/8 inch (3.2 mm) or more in width.	Coupling Devices		3
393.70B1I-MPC ^Ψ	Crack in the mounting plate or pivot bracket (parent metal) extending more than 20 percent of the distance across the metal in the direction of the crack.	Coupling Devices		3
393.70B1I-RW ^Ψ	Fifth Wheel repair weld is cracked	Coupling Devices		3
393.70B1I-SC ^Ψ	Slide curl broken, cracked or repaired by welding	Coupling Devices		3
393.70B1I-W ^Ψ	Fifth wheel more than 20 percent of the total length of all the original welds are cracked on either side of the vehicle.	Coupling Devices		3

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.70B1II	Defective / Improper fifth wheel assembly upper half	Coupling Devices		3
393.70B1II-FWCM ⁴	Fifth wheel - any movement between components	Coupling Devices		3
393.70B1II-FWUC ^ψ	Upper coupler assembly parent metal cracked, extending more than 20 percent of the distance across the metal in the direction of the crack.	Coupling Devices		3
393.70B1II-FWUCG ^ψ	Upper coupler assembly crack or gap caused by corrosion more than 1/8 inch (3.2 mm) or more in width.	Coupling Devices		3
393.70B1II-FWUCW ^ψ	Upper coupler assembly welds are crack on either side, front or back of the upper coupler, more than 20 percent of the total length of all original welds.	Coupling Devices		3
393.70B1II-FWURW ^ψ	Upper coupler assembly repair weld cracked.	Coupling Devices		3
393.70(b)(2)	Defective fifth wheel locking mechanism	Coupling Devices		3
393.70B2-ENGAGED ⁴	Kingpin not properly engaged	Coupling Devices		3
393.70(c)	Defective coupling devices for full trailer	Coupling Devices		3
393.70(d)	No or improper safety chains or cables for full trailer	Coupling Devices		3
393.70(d)(8)	Improper safety chain attachment	Coupling Devices		3
393.71	Improper coupling driveaway/towaway operation	Coupling Devices		3
393.71B3 ³	Improper weight distribution drive-away/towaway	Coupling Devices		3
393.71(g)	Prohibited towing connection / device	Coupling Devices		3
393.71(h)	Towbar requirement violations	Coupling Devices		3
393.71(h)(10)	No or Improper safety chains for towbar	Coupling Devices		3
393.71J-SMU ⁴	Upper Saddlemount - Missing Fasteners, loose, cracked, or excessive movement	Coupling Devices		3
393.71K-SML ⁴	Lower Saddlemount - Missing Fasteners, loose, cracked, or excessive movement	Coupling Devices		3
393.75(a)	Flat tire or fabric exposed	Tires		8
393.75(a)(1)	Tire-ply or belt material exposed	Tires		8

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.75(a)(2)	Tire-tread and/or sidewall separation	Tires		8
393.75(a)(3)	Tire-flat and/or audible air leak	Tires		8
393.75(a)(4)	Tire-cut exposing ply and/or belt material	Tires		8
393.75(b)	Tire-front tread depth less than 4/32 of inch on a major tread groove	Tires		8
393.75B-OOS ^Δ	Tire-front tread depth less than 2/32 of inch on a major tread groove	Tires		8
393.75(c)	Tire-other tread depth less than 2/32 of inch measured in a major tread groove	Tires		8
393.75C-OOS ^Δ	Tire-other tread depth less than 1/32 of inch measured in 2 adjacent major tread grooves 3 separate locations 8 inches apart	Tires		8
393.75(d)	Regrooved or recapped tire on front wheel of bus	Tires		8
393.75(e)	Regrooved Tire on front of truck or truck-tractor	Tire vs. Load		3
393.75(f)	Tire — exceeding weight rating of tire	Tire vs. Load		3
393.75F-SPEED ^Δ	Operating a CMV at speeds exceeding the speed-restriction label of the tire.	Tires		8
393.75(f)(1)	Weight carried exceeds tire load limit	Tire vs. Load		3
393.75(f)(2)	Tire underinflated	Tire vs. Load		3
393.75G-LOAD ^Δ	Weight carried exceeds tire load limit	Tire vs. Load		3
393.75(h)	Tire underinflated	Tire vs. Load		3
393.75i1 ^Δ	Operating a CMV while weight carried exceeds tire rating due to under-inflation	Tire vs. Load		3
393.76	Sleeper berth requirement violations	Other Vehicle Defect		3
393.77	Defective and/or prohibited heaters	Other Vehicle Defect		3
393.77(b)(11)	Bus heater fuel tank location	Other Vehicle Defect		3
393.77(b)(5)	Protection of operating controls from tampering	Other Vehicle Defect		3
393.78	Windshield wipers inoperative/defective	Windshield/ Glass/ Markings		1

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.79	Defroster / Defogger inoperative	Windshield/ Glass/ Markings		1
393.80	No or defective rear-vision mirror	Other Vehicle Defect		3
393.81	Horn inoperative	Other Vehicle Defect		3
393.82	Speedometer inoperative / inadequate	Other Vehicle Defect		3
393.83(a)	Exhaust system location	Exhaust Discharge		1
393.83(b)	Exhaust discharge fuel tank/filler tube	Exhaust Discharge		1
393.83(c) ⁵	Improper exhaust - Bus (Powered by gasoline)	Exhaust Discharge		1
393.83(d) ⁵	Improper exhaust - Bus (Powered by other than Gasoline)	Exhaust Discharge		1
393.83(e)	Improper exhaust discharge (not rear of cab)	Exhaust Discharge		1
393.83(f)	Improper exhaust system repair (patch/wrap)	Exhaust Discharge		1
393.83(g) ⁵	Exhaust leak under driver and/or sleeper compartment	Exhaust Discharge		1
393.83(h)	Exhaust system not securely fastened	Exhaust Discharge		1
393.84	Inadequate floor condition	Cab, Body, Frame		2
393.86(a)(1)	Rear Impact Guards Required - trailer manufactured on or after January 26, 1998	Cab, Body, Frame		2
393.86(a)(2)	Rear Impact Guard having improper width - trailer manufactured on or after January 26, 1998	Cab, Body, Frame		2
393.86(a)(3)	Rear Impact Guard having improper height - trailer manufactured on or after January 26, 1998	Cab, Body, Frame		2
393.86(a)(4)	Rear Impact Guard not within 12 in of rear of vehicle at 22 in above the ground	Cab, Body, Frame		2
393.86(a)(5)	Rear Impact Guard Cross-section vertical height insufficient for trailer manufactured on or after January 26, 1998	Cab, Body, Frame		2
393.86(b)(1)	Rear Impact Guard Required - motor vehicle manufactured after 12/31/1952 (see exceptions)	Cab, Body, Frame		2

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.87(a)	Warning flag required on projecting load	Warning Flags	3	1
393.87(b)	Improper warning flag placement	Warning Flags	3	1
393.88	Improperly located tv receiver	Cab, Body, Frame		2
393.89	Bus driveshaft not properly protected	Cab, Body, Frame		2
393.90	Bus-no or obscure standee line	Cab, Body, Frame		2
393.91	Bus - improper aisle seats	Cab, Body, Frame		2
393.91-FS	Motor Coach or other Passenger carrying vehicle equipped with prohibited non-automatically folding seats in the aisle	Cab, Body, Frame		2
393.91-SNS	Motor Coach or other Passenger Carrying vehicle operating with seating, occupied or not, not secured in a workmanlike manner.	Cab, Body, Frame		2
393.93(a)	Failure to equip bus with seat belts	Cab, Body, Frame		2
393.93(a)(3)	Seats not secured in conformance with FMVSS	Cab, Body, Frame		2
393.93(b)	Failure to equip truck with seatbelts	Cab, Body, Frame		2
393.93B3 ⁴	Temporary Seating - Vehicle must conform to the requirements of Federal Motor Vehicle Safety Standard No. 2071 (9571.207)	Cab, Body, Frame		2
393.95(a)	No/discharged/unsecured fire extinguisher	Emergency Equipment		2
393.95(a)(1)(i)	Failure to equip hazardous material vehicle with a fire extinguisher with a minimum UL rating of 10 B:C	Emergency Equipment		2
393.95(b)	No spare fuses as required	Emergency Equipment		2
393.95(f)	No / insufficient warning devices	Emergency Equipment		2
393.95(g)	HM-restricted emergency warning device	Emergency Equipment		2
393.100	Failure to prevent cargo shifting	General Securement	7	1
393.100(a)	No or improper load securement	General Securement	7	1
393.100(b)	Leaking/spilling/blowing/falling cargo	Improper Load Securement	10	7
393.100(c)	Failure to prevent cargo shifting	General Securement	7	1
393.102(a)	Improper securement system (tiedown assemblies)	Tiedown	8	3

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.102(a)(1)(i)	Insufficient means to prevent forward movement	Failure to Prevent Movement	8	3
393.102(a)(1)(ii)	Insufficient means to prevent rearward movement	Failure to Prevent Movement	8	3
393.102(a)(1)(iii)	Insufficient means to prevent lateral movement	Failure to Prevent Movement	8	3
393.102(b)	Insufficient means to prevent vertical movement	Failure to Prevent Movement	8	3
393.102(c)	Exceeding working load limit for tiedowns	Improper Load Securement	10	7
393.104(a)	Inadequate/damaged securement device/system	Securement Device	7	1
393.104(b)	Damaged securement system/tiedowns	Securement Device	7	1
393.104(c)	Damaged vehicle structures/anchor points	Securement Device	7	1
393.104(d)	Damaged dunnage, chocks, cradles, shoring bars, blocking and bracing	Securement Device	7	1
393.104(f)(1)	Knotted tiedown	Tiedown	8	3
393.104(f)(2)	Use of tiedown with improper repair.	Tiedown	8	3
393.104(f)(3)	Loose or unfastened tiedown.	Tiedown	8	3
393.104F4R	No edge protection for tiedowns	Tiedown	8	3
393.106(a)	No/improper front end structure/headerboard	Securement Device	7	1
393.106(b)	Cargo not immobilized or secured	Failure to Prevent Movement	8	3
393.106(c)(1)	No means to prevent cargo from rolling	Failure to Prevent Movement	8	3
393.106(c)(2)	Cargo without direct contact not prevented from shifting while in transit	Failure to Prevent Movement	8	3
393.106(d)	Insufficient aggregate working load limit	Tiedown	8	3
393.110	Failing to meet minimum tiedown requirements	General Securement	7	1

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.110(b)	Insufficient tiedowns to prevent forward movement for load not blocked by headerboard, bulkhead, or other cargo.	Tiedown	8	3
393.110(c)	Insufficient tiedowns for an article blocked with a headerboard, bulkhead, or other cargo	Tiedown	8	3
393.110(d)	Large or odd-shaped cargo not adequately secured	Failure to Prevent Movement	8	3
393.112	Tiedown not adjustable by driver	Securement Device	7	1
393.114	No/improper front end structure	General Securement	7	1
393.114(b)(1)	Insufficient height for front-end structure	Securement Device	7	1
393.114(b)(2)	Insufficient width for front-end structure	Securement Device	7	1
393.114(d)	Front-end structure insufficient to prevent cargo to pass through it.	Securement Device	7	1
393.116	No/improper securement of logs	General Securement	7	1
393.116(d)(1)	Shortwood log extends more than 1/3 of logs total length beyond supporting structure of vehicle	Improper Load Securement	10	7
393.116(d)(2)	Insufficient tiedowns for shortwood loaded crosswise	Improper Load Securement	10	7
393.116(d)(3)	Tiedowns improperly positioned on load of shortwood	Improper Load Securement	10	7
393.116(d)(4)	No center stakes and/or high log not secured on shortwood vehicles more than 10m (33ft) long	Improper Load Securement	10	7
393.116(e)	Improper Securement of shortwood logs loaded lengthwise	Improper Load Securement	10	7
393.118	No/improper lumber/building materials securement	General Securement	7	1
393.118(b)	Improper placement of bundles	Improper Load Securement	10	7
393.118(d)	Insufficient protection against lateral movement of lumber or building materials	Failure to Prevent Movement	8	3

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.118(d)(3)	Insufficient or improper arrangement of tiedowns for lumber or building materials	Tiedown	8	3
393.120	No or improper securement of metal coils	General Securement	7	1
393.120(b)(1)	Improper securement of metal coils transported vertically	Improper Load Securement	10	7
393.120(b)(2)	Improper securement of metal coils transported in rows with the eyes vertical	Improper Load Securement	10	7
393.120(c)(1)	Improper securement of metal coils transported with eyes crosswise	Improper Load Securement	10	7
393.120(c)(2)	Prohibited load securement - crossing tie-downs in a X pattern through the eye of a metal coil transported crosswise	Improper Load Securement	10	7
393.120(d)(1)	Improper securement of metal coil transported with eye lengthwise	Improper Load Securement	10	7
393.120(d)(4)	Improper securement of metal coils transported in rows, eyes lengthwise to the vehicle	Improper Load Securement	10	7
393.120(e)	No protection against shifting or tipping of metal coils transported in sided vehicle or intermodal container without anchor points	Failure to Prevent Movement	8	3
393.122	No/improper securement of paper rolls	General Securement	7	1
393.122(b)	Improper securement of paper rolls transported with eyes vertical in a sided vehicle	Improper Load Securement	10	7
393.122(c)	Improper securement of split loads of paper rolls transported with the eyes vertical in a sided vehicle	Improper Load Securement	10	7
393.122(d)	Improper securement of stacked loads of paper rolls transported with the eyes vertical in a sided vehicle	Improper Load Securement	10	7
393.122(e)	Improper securement of paper rolls transported with the eyes crosswise in a sided vehicle	Improper Load Securement	10	7
393.122(f)	Rolls crosswise/stacked load - improperly secured	Improper Load Securement	10	7

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.122(g)	Improper securement of paper rolls transported with the eyes lengthwise in a sided vehicle	Improper Load Securement	10	7
393.122(h)	Rolls lengthwise/stacked - improper securement	Improper Load Securement	10	7
393.122(i)	Improper securement of paper rolls transported on a flatbed vehicle or in a curtain-sided vehicle	Improper Load Securement	10	7
393.124	No or improper securement of concrete pipe	General Securement	7	1
393.124(b)	Insufficient working load limits for tiedowns on a group of concrete pipes	Tiedown	8	3
393.124(c)	Improper blocking of concrete pipe	Improper Load Securement	10	7
393.124(d)	Improper arrangement of concrete pipe	Improper Load Securement	10	7
393.124(e)	Improper securement of concrete pipe with an inside diameter up to 45 inches (1143 mm)	Improper Load Securement	10	7
393.124(f)	Improper securement of concrete pipe with an inside diameter greater than 45 inches (1143 mm)	Improper Load Securement	10	7
393.126	Failure to ensure intermodal container securement	General Securement	7	1
393.126(b)	Damaged or Missing tiedown or securement device for intermodal containers transported on container chassis vehicle	Securement Device	7	1
393.126(c)(1)	Lower corners of loaded intermodal container not resting on surface of transporting vehicle (non container chassis)	Securement Device	7	1
393.126(c)(2)	All corners of loaded intermodal container not secured when transported on vehicle other than container chassis vehicle	Improper Load Securement	10	7
393.126(c)(3)	Front and rear of loaded intermodal container not secured independently when transported on vehicle other than container chassis	Improper Load Securement	10	7

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.126(d)(1)	Empty intermodal container not properly positioned when transported on vehicle other than container chassis vehicle	Improper Load Securement	10	7
393.126(d)(2)	Empty intermodal container with more than 5 ft overhang when transported on vehicle other than container chassis vehicle	Improper Load Securement	10	7
393.126(d)(4)	Empty intermodal container not properly secured to prevent shifting when transported on vehicle other than container chassis vehicle	Improper Load Securement	10	7
393.128	No/improper securement of vehicles	General Securement	7	1
393.128(b)(1)	Vehicle not secured, front and rear	Improper Load Securement	10	7
393.128(b)(2)	Tiedown(s) not affixed to mounting points.	Improper Load Securement	10	7
393.128(b)(3)	Tiedown(s) not over/around wheels.	Improper Load Securement	10	7
393.130	No/improper heavy vehicle/machine securement	General Securement	7	1
393.130(b)	Item not properly prepared for transport	Improper Load Securement	10	7
393.130(c)	Improper restraint/securement of item	Improper Load Securement	10	7
393.132	No/improper securement of crushed vehicles	General Securement	7	1
393.132(b)	Prohibited use of synthetic webbing.	Securement Device	7	1
393.132(c)	Insufficient tiedowns per vehicle stack of crushed cars	Tiedown	8	3
393.132(c)(5)	Insufficient means to retain loose parts or leaking liquids from crushed cars	Improper Load Securement	10	7
393.134	No/improper securement of roll/hook container	General Securement	7	1
393.134(b)(1)	No blocking against forward movement	Failure to Prevent Movement	8	3
393.134(b)(2)	Container not secured to front of vehicle	Improper Load Securement	10	7

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.134(b)(3)	Rear of container not properly secured	Improper Load Securement	10	7
393.136	No/improper securement of large boulders	General Securement	7	1
393.136(b)	Improper placement/positioning for boulder	Improper Load Securement	10	7
393.136(c)(1)	Use of synthetic webbing to secure boulder	Improper Load Securement	10	7
393.136(d)	Improper secure; cubic boulder	Improper Load Securement	10	7
393.136(e)	Improper secure; non-cubic boulder w/base	Improper Load Securement	10	7
393.136(f)	Improper secure; non-cubic boulder w/o base	Improper Load Securement	10	7
393.201(a)	Frame cracked / loose / sagging / broken	Cab, Body, Frame		2
393.201(b)	Bolts securing cab broken/loose/missing	Cab, Body, Frame		2
393.201(c)	Frame rail flange improperly bent/cut/notched other than by vehicle manufacturer	Cab, Body, Frame		2
393.201(d)	Frame accessories improperly attached	Cab, Body, Frame		2
393.201(e)	Prohibited holes drilled in frame rail flange	Cab, Body, Frame		2
393.203	Cab/body parts requirements violations	Cab, Body, Frame		2
393.203(a)	Cab door missing/broken	Cab, Body, Frame		2
393.203(b)	Cab/body improperly secured to frame	Cab, Body, Frame		2
393.203(c)	Hood not securely fastened	Cab, Body, Frame		2
393.203(d)	Cab seats not securely mounted	Cab, Body, Frame		2
393.203(e)	Cab front bumper missing/unsecured/protrude	Cab, Body, Frame		2
393.205(a)	Wheel/rim cracked or broken	Wheels, Studs, Clamps, Etc.		2
393.205(b)	Stud/bolt holes elongated on wheels	Wheels, Studs, Clamps, Etc.		2

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
393.205(c)	Wheel fasteners loose and/or missing	Wheels, Studs, Clamps, Etc.		2
393.207(a)	Axle positioning parts defective/missing	Suspension		7
393.207(b)	Adjustable axle locking pins missing or not engaged	Suspension		7
393.207(c)	Leaf spring assembly defective/missing	Suspension		7
393.207(d)	Coil spring cracked and/or broken	Suspension		7
393.207(e)	Torsion bar cracked and/or broken	Suspension		7
393.207(f)	Air suspension pressure loss	Suspension		7
393.207(g)	No / defective air suspension exhaust control	Suspension		7
393.209(a)	Steering wheel not secured/broken	Steering Mechanism		6
393.209(b)	Excessive steering wheel lash	Steering Mechanism		6
393.209(c)	Loose steering column	Steering Mechanism		6
393.209(d)	Steering system components worn, welded, or missing	Steering Mechanism		6
393.209(e)	Power steering violations	Steering Mechanism		6
396.1	Must have knowledge of and comply with the Federal Motor Carrier Safety Regulations	Inspection Reports		4
396.3(a)(1) ⁵	Inspection, repair and maintenance of parts and accessories	Wheels, Studs, Clamps, Etc.		2
396.3A1-AR ⁴	Brake - Defective Air Reservoir	Brakes, All Others		4
396.3A1-AWW ⁴	Wheels - Welded Repair on wheel other than Disc-to-Rim attachment	Wheels, Studs, Clamps, Etc.		2
396.3A1B	Brakes (general) Explain:	Brakes, All Others		4
396.3A1BA	Bolt-type or DD-3 -type Brake Out of Adjustment	Brakes Out of Adjustment		4
396.3A1BC	Brake-air compressor violation	Brakes, All Others		4
396.3A1BD	Brake-defective brake drum	Brakes, All Others		4
396.3A1-BFL ⁴	Brakes - Hydraulic Brake Failure Light missing, inoperative, or stays activated	Brakes, All Others		4
396.3A1BL	Brake system pressure loss	Brakes, All Others		4

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
396.3A1BOS*	BRAKES OUT OF SERVICE: The number of defective brakes is equal to or greater than 20% of the service brakes on the vehicle or combination	Brakes, All Others		0 + 2 (OOS)
396.3A1-BPA ⁴	Brakes - Hydraulic Brake Power Assist / Power Brake Unit / Brake Backup System Inoperative	Brakes, All Others		4
396.3A1-CD ⁴	C-Dolly - Defective / Missing Locks - not centered	Coupling Devices		3
396.3A1-CDST ⁴	Defective coupling devices for semi-trailer.	Coupling Devices		3
396.3A1-CH ⁴	Brake - Hole in Spring Brake Housing	Brakes, All Others		4
396.3A1DSCB	Center Bearing (Carrier Bearing) Cracked / Loose / Broken / Missing	Other Vehicle Defect		3
396.3A1DSDT	Drive Shaft Tube Cracked or Twisted	Other Vehicle Defect		3
396.3A1DSUJ ⁵	Universal Joint with Loose, Broken, or Missing Component, or Bearing Strap	Other Vehicle Defect		3
396.3A1DSYE	Drive Shaft Yoke Ends Cracked / Loose / Broken / Missing	Other Vehicle Defect		3
396.3A1-EC ⁴	Bus - Electrical Mountings broken or unsecured	Other Vehicle Defect		3
396.3A1-FA ⁴	Front Axle and any other steering components cracked/repair welded	Steering Mechanism		6
396.3A1-FWMOV ⁴	Fifth wheel- Movement exceeds 1/2 inch	Coupling Devices		3
396.3A1-FWPC ^ψ	Crack in the fifth wheel plate (parent metal) extending more than 20 percent of the distance across the metal in the direction of the crack.	Coupling Devices		3
396.3A1-FWPG ^ψ	A crack or gap caused by corrosion that is 1/8 inch (3.2 mm) or more in width in fifth wheel plate.	Coupling Devices		3
396.3A1-FWPRW ^ψ	Repair weld cracked on fifth wheel plate	Coupling Devices		3
396.3A1-GDRVP ^ψ	Vehicle with a dripping liquid that vaporizes in the air from a LNG fuel system.	Other Vehicle Defect		3
396.3A1-GLEAK ^ψ	Vehicle with fuel leakage from a CNG, LNG or LPG system verified by bubble test or gas detection meter.	Other Vehicle Defect		3
396.3A1-GVAPOR ^ψ	Vehicle with a cloud of vapor from a LNG fuel system.	Other Vehicle Defect		3

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
396.3A1-HC ⁴	Hubs - Hub cap missing or broken	Wheels, Studs, Clamps, Etc.		2
396.3A1-HS ⁴	Hub smoking	Wheels, Studs, Clamps, Etc.		2
396.3A1-LC ⁴	Brake - Leak from air chamber	Wheels, Studs, Clamps, Etc.		4
396.3A1-LLEAK ^ψ	A liquid fuel system with a dripping leak at any point.	Other Vehicle Defect		3
396.3A1-MCF ⁴	Brakes - Hydraulic Fluid level in Master Cylinder low	Brakes, All Others		4
396.3A1-PAW ⁴	Pitman Arm - welded / missing nuts	Steering Mechanism		6
396.3A1-SGB ⁴	Steering Gear Box - welded	Steering Mechanism		6
396.3A1-SSF ⁴	Sliding subframe rail defective	Steering Mechanism		6
396.3A1T	Tires (general)	Tires		8
396.3A1-TC ⁴	Tire in contact with another part of the vehicle	Tires		8
396.3A1-TM ⁴	Tires - Not for Highway User used on Steering Axle	Tires		8
396.3A1-TP ⁴	Tires - Use of Tire Plug or Cord	Tires		8
396.3A1-TRDL ⁴	Tie Rods / Drag Links - Defective Clamps / Holes / Missing nuts	Steering Mechanism		6
396.3A1-TS ⁴	Tilt or Telescopic Steering defective	Steering Mechanism		6
396.5(a)	Failing to ensure that vehicle is properly lubricated	Other Vehicle Defect		3
396.5A-HNLIW	Hubs - No visible or measurable lubricant showing in the hub - inner wheel	Wheels, Studs, Clamps, Etc.		2
396.5A-HNLOW	Hubs - No visible or measurable lubricant showing in the hub - outer wheel	Wheels, Studs, Clamps, Etc.		2
396.5(b)	Oil and/or grease leak	Other Vehicle Defect		3
396.5B-HLIW	Hubs - Oil and/or Grease Leaking from hub - inner wheel	Wheels, Studs, Clamps, Etc.		2
396.5B-HLOW	Hubs - oil and/or Grease Leaking from hub - outer wheel	Wheels, Studs, Clamps, Etc.		2

Table A-3. SMS Vehicle Maintenance BASIC Violations¹

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Previous Severity Weight (Cargo-Related only)	Violation Severity Weight ²
396.5B-HWSLIW	Hubs - Wheel seal leaking - inner wheel	Wheels, Studs, Clamps, Etc.		2
396.5B-HWSLOW	Hubs - Wheel seal leaking - outer wheel	Wheels, Studs, Clamps, Etc.		2
396.7	Unsafe operations forbidden	Other Vehicle Defect		3
396.7A-LT ⁴	Solid item lodged between dual tires	Tires		8
396.9(c)(2)	Operating an out-of-service vehicle	Vehicle Jumping OOS		10
396.9(d)(2)	Failure to correct defects noted on previous inspection report	Inspection Reports		4
396.11	No or inadequate driver vehicle inspection report	Inspection Reports		4
396.13(c)	No reviewing driver signature on DVIR	Inspection Reports		4
396.17(c)	Operating a CMV without proof of a periodic inspection	Inspection Reports		4
398.5	Failure to maintain vehicle for safe operation - Transportation of Migrant Workers	Other Vehicle Defect		3
398.7	Inspection and Maintenance of motor vehicles used for Transportation of Migrant Workers	Inspection Reports		4
399.207	Vehicle access requirements violations	Cab, Body, Frame		2
399.211	Inadequate maintenance of driver access	Cab, Body, Frame		2

Controlled Substances & Alcohol Violations

Table A-4. SMS Controlled Substances/Alcohol BASIC Violations¹			
Section	Violation Description	Violation Group Description	Violation Severity Weight
390.3E ³	Prohibited from performing safety sensitive functions per 382.501(a) in the Drug and Alcohol Clearinghouse.	Alcohol Jumping OOS	10
392.4(a)	Driver uses or is in possession of drugs	Drugs	10
392.4A-POS ^Δ	Driver on duty and in possession of a narcotic drug / amphetamine	Drugs	10
392.4A-UI ^Δ	Driver on duty and under the influence of, or using a narcotic drug / amphetamine, which renders the driver incapable of safe operation.	Drugs	10
392.5(a)	Driver consuming an intoxicating beverage within 4 hours before operating a motor vehicle	Alcohol	5
392.5A1 ³	Driver consuming an intoxicating beverage within 4 hours	Alcohol	5
392.5A2-DETECT ^Δ	Driver having any measured alcohol concentration, or any detected presence of alcohol while on duty, or operating, or in physical control of a commercial motor vehicle	Alcohol	5
392.5A2-POS ^Δ	Driver having possession of alcohol while on duty, or operating, or in physical control of a CMV	Alcohol Possession	3
392.5A2-UI ^Δ	Operating a CMV while under the influence of an intoxicating beverage regardless of its alcohol content.	Alcohol	5
392.5(a)(3) ^{[2]4}	Driver having possession of alcohol while on duty, or operating, or in physical control of a CMV	Alcohol Possession	3
392.5(c)(2)	Violating OOS order pursuant to 392.5(a)/(b)	Alcohol Jumping OOS	10

Hazardous Materials Violations

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
171.12A ⁴	Violation of US requirements for Transport Canada TDG shipment	HM Other	2
171.12AB ^[3]	US requirements for TDG shipment	HM Other	2
171.12B ^[3]	Failure to comply with US requirements for shipments from Mexico	HM Other	2
171.2(a)	Failure to comply with Hazardous Materials regulations	HM Other	2
171.2(b)	Failure to comply with the requirements for HM transportation (including labeling and handling)	HM Other	2
171.2(c) ⁵	Failing to comply with Hazardous Materials regulations when offering hazardous materials for transportation	Markings - HM	5
171.2(f)	Transporting Hazardous Materials not in accordance with this part	Package Integrity - HM	8
171.2(g) ⁵	Cargo tank (packaging) does not comply with Hazardous Materials Regulations	Package Integrity - HM	8
171.2(k)	Representing vehicle with Hazardous Materials with none present	Markings - HM	5
171.23	US Requirements for IMDG shipment.	HM Other	2
171.26	US Requirements for IAEA shipments	HM Other	2
172.200(a)	No shipping paper provided by offeror	Documentation - HM	3
172.201(a)(1)	Hazardous Materials not distinguished from non-Hazardous Materials	Documentation - HM	3
172.201(a)(2)	Hazardous Materials description not printed legibly in English	Documentation - HM	3
172.201(a)(3)	Hazardous Materials description contains abbreviation or code	Documentation - HM	3
172.201(a)(4)	Additional information not after Hazardous Materials basic description	Documentation - HM	3
172.201(c)	Failure to list page number of pages	Documentation - HM	3
172.201(d)	ER phone number not in compliance with Subpart G	Documentation - HM	3

<u>Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹</u>			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	<u>Violation Severity Weight²</u>
172.202(a)(1)	No or improper Identification Number	Documentation - HM	3
172.202(a)(2)	No or improper Shipping Name	Documentation - HM	3
172.202(a)(3)	No or improper Hazard Class or Division number.	Documentation - HM	3
172.202(a)(4)	No or improper Packing Group listed	Documentation - HM	3
172.202(a)(5)	No or improper Total Quantity listed	Documentation - HM	3
172.202(b)	Basic description not in proper sequence	Documentation - HM	3
172.202(c) ⁵	Total quantity improper location	Documentation - HM	3
172.202(e)	Non Hazardous Material entered with class or ID#	Documentation - HM	3
172.203(a)	"DOT-SP" or special permit number not entered on shipping paper	Documentation - HM	3
172.203(b)	Limited quantity not shown	Documentation - HM	3
172.203(c)(1)	Hazardous substance entry missing	Documentation - HM	3
172.203(c)(2)	RQ not on shipping paper	Documentation - HM	3
172.203(d)(1)	Radionuclide name not on shipping paper	Documentation - HM	3
172.203(d)(10)	No indication for Highway Route Controlled Quantity of Class 7 "HRCQ" on shipping paper	Documentation - HM	3
172.203(d)(2)	No RAM physical or chemical form	Documentation - HM	3
172.203(d)(3)	No RAM activity	Documentation - HM	3
172.203(d)(4)	No RAM label category	Documentation - HM	3
172.203(d)(5)	No RAM transport index	Documentation - HM	3
172.203(d)(6)	No fissile radioactive entry	Documentation - HM	3
172.203(d)(7)	No DOE/NRC package approval notation	Documentation - HM	3
172.203(d)(8)	Export package or foreign made package not marked with IAEA Certificate	Documentation - HM	3
172.203(d)(9)	No Exclusive Use notation	Documentation - HM	3
172.203(h)(1) ⁵	No "0.2 PERCENT WATER" for anhydrous ammonia or missing "NOT FOR Q and T Tanks"	Documentation - HM	3

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
172.203(h)(2) ⁵	No "CORROSIVE/NONCORROSIVE" for Liquefied Petroleum Gas or missing "NOT FOR Q and T Tanks"	Documentation - HM	3
172.203(k) ⁵	No technical name for n.o.s. entry	Documentation - HM	3
172.203L	No "Marine Pollutant" entry	Documentation - HM	3
172.203(m)	No Poison Inhalation Hazard and / or Hazard Zone	Documentation - HM	3
172.203(n)	No "HOT" on shipping paper	Documentation - HM	3
172.203(o) ⁵	No temperature controls noted for Class 4.1 or Class 5.2	Documentation - HM	3
172.203P	No "Non-odorized" entry for LPG	Documentation - HM	3
172.205	Hazardous waste manifest not as required	Documentation - HM	3
172.301	Non-bulk package marking - general	Markings - HM	5
172.301(a)	No proper shipping name and/or ID# marking on non-bulk package	Markings - HM	5
172.301(a)(1)	No proper shipping name and/or ID# marking on non-bulk	Markings - HM	5
172.301A1-SZ	Non-bulk package marking is incorrect size	Documentation - HM	3
172.301(b)	No technical name on non-bulk	Documentation - HM	3
172.301(c)	No special permit number on non-bulk package	Documentation - HM	3
172.301(d)	No consignee/consignor on non-bulk	Documentation - HM	3
172.301F	No "Non-odorized" entry for LPG cylinders	Documentation - HM	3
172.302	Marking requirements bulk packagings	Markings - HM	5
172.302(a)	No ID# on a Bulk Packaging	Markings - HM	5
172.302(b)	Bulk package marking incorrect size	Markings - HM	5
172.302(c)	No special permit number on bulk package	Documentation - HM	3
172.303(a)	Prohibited HM marking on package	Markings - HM	5
172.304(a)(1)	Package marking not durable, english or print	Markings - HM	5

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
172.304(a)(2)	Marking not on sharply contrasting color	Markings - HM	5
172.304(a)(3)	Marking obscured by label or attachments	Markings - HM	5
172.304(a)(4)	Marking not away from other marking	Markings - HM	5
172.308(a)	Package marked with unauthorized abbreviation	Markings - HM	5
172.310(a)	No gross weight on radioactive materials package greater than 50 KG	Markings - HM	5
172.310(b)	Radioactive materials package not marked "Type A or B"	Markings - HM	5
172.310C ^[3]	Type B, B(U), B(M) package not marked with radiation symbol	Markings - HM	5
172.310D ⁴	Type B, B(U), B(H) package not marked with radiation symbol	Markings - HM	5
172.312(a)(2)	No package orientation arrows	Cargo Protection - HM	4
172.312(b)	Prohibited use of orientation arrows	Cargo Protection - HM	4
172.313(a)	No "Inhalation Hazard" on package	Markings - HM	5
172.313(b)	No "Poison" on non-bulk plastic package	Markings - HM	5
172.316(a)	ORM non-bulk package not marked	Markings - HM	5
172.320(a)	Class 1 package not marked with ex-number	Markings - HM	5
172.322(b)	No MARPOL marking on bulk packaging	Markings - HM	5
172.324	Non-bulk hazardous substance not marked	Markings - HM	5
172.325	No "hot" marking for bulk elevated temperature	Markings - HM	5
172.325(a)	Elevated temperature material not marked "Hot"	Markings - HM	5
172.325(b)	Improperly marked molten aluminum or molten sulfur	Markings - HM	5
172.326(a)	Portable tank not marked with proper shipping name or ID#	Markings - HM	5
172.326(b)	Portable tank not marked with owner or lessee name	Markings - HM	5
172.326(c)(1)	No ID# marking on vehicle carrying portable tank	Markings - HM	5
172.326(c)(2)	Shipper failed to provide ID# to carrier	Markings - HM	5
172.326D ^[3]	No NON-ODORIZED entry for LPG Portable Tanks	Documentation - HM	3

[Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
172.328(a)	Shipper failed to provide or affix ID# for cargo tank	Markings - HM	5
172.328(b)	Cargo tank not marked with proper shipping name for gases	Markings - HM	5
172.328(c)	Not marked with "QT" or "NQT" on MC330 or MC331 cargo tank	Markings - HM	5
172.328(d) ⁵	Fail to mark manual remote shutoff device with "Emergency Shutoff"	Markings - HM	5
172.328E ^[3]	Fail to mark "Non Odorized LPG" on cargo tank	Documentation - HM	3
172.330(a)(2) ⁵	Tank car tank (ton cylinder) not marked as required	Markings - HM	5
172.330(b)	Motor vehicle with tank not marked	Markings - HM	5
172.330C ^[3]	No NON-ODORIZED entry for LPG on tank cars	Documentation - HM	3
172.331	Markings for other bulk packages	Markings - HM	5
172.331A	Offeror fail to provide ID Numbers to motor carrier for other bulk packages	Markings - HM	5
172.331B	Offeror fail to affix ID Numbers on other bulk packages	Markings - HM	5
172.331C	Transport other bulk packages without proper ID Numbers	Markings - HM	5
172.332	Required ID markings displayed	Markings - HM	5
172.332A	Failure to display ID Numbers when required	Markings - HM	5
172.332B	Orange panel does not meet specifications	Markings - HM	5
172.332C	ID Number on placard does not meet specifications	Markings - HM	5
172.334	Prohibited ID number marking	Markings - HM	5
172.334(a) ⁵	ID Number display prohibited on Class 7, Class 1, Dangerous, or Subsidiary placard	Markings - HM	5
172.336(b)	ID Numbers not properly displayed other than on placards	Markings - HM	5
172.336C	Failing to display ID numbers according to provisions in table of 172.336	Markings - HM	5
172.338	Carrier failed to replace missing ID number	Markings - HM	5
172.400(a)	Package or containment device not labeled as required	Markings - HM	5
172.401	Prohibited labeling	Markings - HM	5
172.402(a)	No label for subsidiary hazard	Markings - HM	5

[Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
172.402(b)	Display of class number on label	Markings - HM	5
172.402(d)	Subsidiary labeling for RAM	Markings - HM	5
172.402(e)	Subsidiary labeling for class 1 (explosive) materials	Markings - HM	5
172.402F	Subsidiary labeling for Division 2.2 materials	Markings - HM	5
172.403(a)	No RAM label	Markings - HM	5
172.403B	Wrong category RAM label	Markings - HM	5
172.403E	Failing to have complete information on Fissile label	Markings - HM	5
172.403(f)	RAM package 2 labels on opposite sides	Markings - HM	5
172.403(g)	Failed to label RAM properly	Markings - HM	5
172.403(g)(2)	Class 7 label : no activity or activity not in SI units	Markings - HM	5
172.403H	RAM label overpack requirements	Markings - HM	5
172.404(a)	Mixed package not properly labeled	Markings - HM	5
172.404(b)	Failed to properly label consolidated package	Markings - HM	5
172.406(a)(1)	Label placement not as required	Markings - HM	5
172.406(c)	Multiple label placement not as required	Markings - HM	5
172.406(d)	Label not on contrasting background or no border	Markings - HM	5
172.406(e)	Failed to display duplicate label as required	Markings - HM	5
172.406(f)	Label obscured by marking or attachment	Markings - HM	5
172.502(a)(1)	Prohibited placarding	Markings - HM	5
172.502(a)(2)	Sign or device could be confused with HM placard	Markings - HM	5
172.504(a)	Vehicle not placarded as required	Markings - HM	5
172.504(b)	Dangerous placard violation	Markings - HM	5
172.505(a)	Not placarded for subsidiary poison inhalation hazard	Markings - HM	5
172.505(b)	Not placarded for subsidiary corrosive	Markings - HM	5
172.505(c)	Not placarded for subsidiary dangerous when wet	Markings - HM	5
172.506(a)	Offeror failed to provide placards	Markings - HM	5
172.506(a)(1)	Placards not affixed to vehicle	Markings - HM	5
172.507	Not placarded for RAM highway route controlled quantity	Markings - HM	5

<u>Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹</u>			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	<u>Violation Severity Weight²</u>
172.512(a)	Freight container not placarded	Markings - HM	5
172.514(a)	Offering a bulk package that is not properly placarded	Markings - HM	5
172.514(b)	Bulk package with residue of HM not properly placarded	Markings - HM	5
172.516(a)	Placard not visible from direction it faces	Markings - HM	5
172.516(c)(1)	Placard not securely affixed or attached	Markings - HM	5
172.516(c)(2)	Placard not clear of appurtenance	Markings - HM	5
172.516(c)(4) ⁵	Placard not located at least 3 inches away from advertising that could reduce its effectiveness.	Markings - HM	5
172.516(c)(5)	Placard not reading horizontally	Markings - HM	5
172.516(c)(6)	Placard damaged, deteriorated, or obscured	Markings - HM	5
172.516(c)(7)	Placard not on contrasting background or border	Markings - HM	5
172.519	Placard does not meet specifications	Markings - HM	5
172.600(c)	Offer or transport without emergency response information	Documentation - HM	3
172.602(a)	Emergency Response information not complete	Documentation - HM	3
172.602(b)	Form and manner of Emergency Response information	Documentation - HM	3
172.602(c)(1)	Maintenance/accessibility of Emergency Response information	Documentation - HM	3
172.604 ³	Offering HM for transportation with no or improper Emergency Response telephone number	Documentation - HM	3
172.604(a)	Failing to provide an emergency response phone number	Documentation - HM	3
173.9	Fumigant marking requirements	Markings - HM	5
173.9B ³	Failed to warn of fumigated load	Markings - HM	5
173.24(a)(c)	Non-bulk package mixed contents requirements	Cargo Protection - HM	4
173.24(b)	Failed to meet general package requirements	Load Securement - HM	10
173.24((b))(1)	Release of Hazardous Materials from package	Load Securement - HM	10
173.24(b)(a)	Bulk package outage or filling limit requirements	Load Securement - HM	10

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
173.24(b)(d)(2)	Exceeding the maximum weight of bulk package rating as shown on specification plate	Load Securement - HM	10
173.24(c)	Packaging not authorized by the Hazardous Materials Regulations	Load Securement - HM	10
173.24(f)(1)	Closures for packagings must not be open or leaking	Load Securement - HM	10
173.25(a)	Failed to meet overpack conditions	Markings - HM	5
173.25(c)	Failure to label and package poison properly, when transported with edible material	Markings - HM	5
173.29(a)	Empty package improper transportation	Cargo Protection - HM	4
173.3	No or Improper HM Loading by Shipper	Cargo Protection - HM	4
173.32A2	Portable tank periodic testing	Package Testing - HM	7
173.32G1	Portable tank extending outside transport vehicle	Load Securement - HM	10
173.32(h)(3)	IM101/102 bottom outlets prohibited	Fire Hazard - HM	6
173.33(a)	Cargo tank general requirements	Cargo Protection - HM	4
173.33(b)	Cargo tank loading requirements	Cargo Protection - HM	4
173.33(c)(2)	Cargo tank not marked with design or MAWP	Cargo Protection - HM	4
173.35(a)	Intermediate bulk container requirements	Package Integrity - HM	8
173.35(d)	Liquid filled IBC with Ullage over 98%	Load Securement - HM	10
173.35(f)(2)	IBC not secured to or within vehicle	Load Securement - HM	10
173.35L	IBC filled in excess of maximum gross mass marked on the container	Cargo Protection - HM	4
173.36	Large bulk packages general requirements	Package Integrity - HM	8
173.37	Flexible bulk packages general requirements	Package Integrity - HM	8
173.4	General requirements Poison Inhalation Hazard Zone A or B in cylinders	HM Other	2
173.54	Transporting or Offering for Transportation forbidden explosives	Fire Hazard - HM	6
173.6	General packaging requirements explosives	HM Other	2

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
173.312	MEGCs general requirements	Package Integrity - HM	8
173.315(a)	Fail to comply with Cargo or portable tank Class 2 General requirements	Load Securement - HM	10
173.315J1	Residential LPG tank under 5%	Cargo Protection - HM	4
173.315J2	Residential LPG tank over 5%	Cargo Protection - HM	4
173.315M2	Anhydrous ammonia nurse tank with no test markings when required	Cargo Protection - HM	4
173.315N2	No emergency discharge control, other than metered delivery	Cargo Protection - HM	4
173.315N3	No emergency discharge control, metered delivery	Cargo Protection - HM	4
173.318	Cryogenic liquids in cargo tanks general requirements	Package Integrity - HM	8
173.318(b)(10)	Fail to mark inlet, outlet, pressure relief device, or pressure control valve of cryogenic tanks	Package Integrity - HM	8
173.427(a)(6)(iv)	No instructions for exclusive use packaging-low specific activity	Cargo Protection - HM	4
173.427(a)(6)(vi)	Exclusive use low specific activity (LSA) radioactive material not marked "Radioactive-LSA"	Markings - HM	5
173.427D ³	Not packaged in accordance with 10 CFR, Part 71	Package Integrity - HM	8
173.431	Exceeded activity limits Type A or Type B package	Load Securement - HM	10
173.441(a)	Exceeding radiation level limitations allowed for transport	Cargo Protection - HM	4
173.441(b)	Exceeding radiation level allowed for transport of RAM under exclusive use provisions	Load Securement - HM	10
173.442(b)(1)	External temperature of package exceeds 50 degrees Celcius (122 degrees F)	Cargo Protection - HM	4
173.442(b)(2)	External temperature of package exceeds 85 degrees C (185 degrees F) in an exclusive use shipment	Cargo Protection - HM	4
173.441C ³	Failure to provide Exclusive Use instructions to carrier	Cargo Protection - HM	4
173.443(a)	Radioactive contamination exceeds limits	Load Securement - HM	10
173.448	General RAM transport requirements	Cargo Protection - HM	4

<u>Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹</u>			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	<u>Violation Severity Weight²</u>
177.801	Accepting or Transporting Hazardous Materials not prepared in accordance with regulations	HM Other	2
177.801-TRN	Transporting a forbidden material	Load Securement - HM	10
177.804	Failure to comply with FMCSR 49 CFR part 383 and 49 CFR parts 390 through 397	HM Other	2
177.804A ³	Failure to comply with FMCSR 49 CFR Parts 390 through 397 When Transporting HM	HM Other	2
177.804A-CDL ³	Failure to comply with 49 CFR Part 383 Commercial Drivers License Provisions When Transporting HM	HM Other	2
177.817(a)	No or improper shipping papers (carrier)	Documentation - HM	3
177.817(b)	Shipper certification missing (when required)	Documentation - HM	3
177.817(e)	Shipping paper accessibility	Documentation - HM	3
177.823(a)	No placards/markings when required	Markings - HM	5
177.834(a)	Package not secure in vehicle	Load Securement - HM	10
177.834(b)	Package not loaded according to orientation marks	Cargo Protection - HM	4
177.834(c)	Smoking while loading or unloading Class 1, Class 3, Class 4, Class 5, or Division 2.1 Hazardous Material	Fire Hazard - HM	6
177.834(i)	Violation of attendance requirements of cargo tank during loading or unloading	Cargo Protection - HM	4
177.834(j)	Manholes and valves not closed or leak free	Cargo Protection - HM	4
177.834(m)(1)	Improper securement of specification 106A or 110A tanks	Cargo Protection - HM	4
177.834(n) ⁵	Improper loading of specification 56, 57, IM 101, and/or IM 102 portable tanks	Fire Hazard - HM	6
177.835	Improper transportation of explosives (Class 1)	Fire Hazard - HM	6
177.837	Improper transporting of Class 3 hazardous materials	Fire Hazard - HM	6
177.837(c)	Cargo tank improper bonding or grounding	Cargo Protection - HM	4
177.837(d)	Combustible liquid unloading violation	Cargo Protection - HM	4
177.838	Improper transportation of Class 4, Class 5 or Division 4.2	Fire Hazard - HM	6

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
177.839	Improper transportation of Class 8 hazardous materials	Cargo Protection - HM	4
177.840	Improper transportation of Class 2 hazardous materials	Fire Hazard - HM	6
177.840(g)	Discharge valve not closed during transportation of Class 2 hazardous materials	Cargo Protection - HM	4
177.840L ³	No or improper Emergency Operating Procedures for cargo tanks	Documentation - HM	3
177.840(o) ⁵	Fail to test off-truck remote shutoff device on a daily basis	Cargo Protection - HM	4
177.840(s)	Fail to possess remote shutoff when unloading	Cargo Protection - HM	4
177.841	Improper transportation of Division 6.1 or Division 2.3 hazardous materials	Fire Hazard - HM	6
177.841(e)	Package labeled Poison loaded with foodstuffs, feed or edible material	HM Other	2
177.842(a)	Total transport index exceeds 50- non-exclusive use	HM Other	2
177.842(b)	Distance from package to person-radioactive material	HM Other	2
177.842(d)	Blocking and bracing of RAM packages	HM Other	2
177.848(d)	Prohibited loading, transportation, or storage combination of hazardous materials	Fire Hazard - HM	6
177.848(f)	Violation of Class 1 hazardous materials load separation or segregation requirements	HM Other	2
177.870 ^[3]	Prohibited Hazardous Materials on passenger carrying vehicle	Load Securement - HM	10
178.245-5	DOT51 valve protection	Package Integrity - HM	8
178.245-6(a)	DOT51 name plate markings	Package Integrity - HM	8
178.245-6(b) ⁵	DOT51 Specification tank outlets not marked	Package Integrity - HM	8
178.251-4	DOT 56/57 integrity and securement	Package Integrity - HM	8
178.253 ^[3]	DOT57 Portable Tank Specifications	Package Integrity - HM	8
178.255-14	DOT 60 ID plate	Package Integrity - HM	8

[Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
178.255-4	DOT 60 manhole	Package Integrity - HM	8
178.255-7	DOT60 valve protection	Package Integrity - HM	8
178.255-8 ³	DOT60 pressure relief	Package Integrity - HM	8
178.270-1	IM 101/102 general design	Package Integrity - HM	8
178.270-11(d)(1)	IM101/102 pressure relief	Package Integrity - HM	8
178.270-14	IM101/102 spec plate	Package Integrity - HM	8
178.270-4	IM 101/102 Structural integrity	Package Integrity - HM	8
178.270-6	IM 101/102 frames	Package Integrity - HM	8
178.270-8	IM 101/102 valve protection	Package Integrity - HM	8
178.270-9	IM101/102 manholes	Package Integrity - HM	8
178.336-10	MC330 Protecting of fittings	Package Integrity - HM	8
78.336-10 ⁴	MC330 Protecting of fittings	Package Integrity - HM	8
178.336-13	MC330 Anchoring of tank	Package Integrity - HM	8
78.336-13 ⁴	MC330 Anchoring of tank	Package Integrity - HM	8
178.336-17	MC330 Metal ID plate marking	Package Integrity - HM	8
78.336-17 ⁴	MC330 Metal ID plate marking	Package Integrity - HM	8
178.337-10	MC331 Accident damage protection	Package Integrity - HM	8
178.337-10(a)	MC331 Protection of fittings	Package Integrity - HM	8
178.337-13	MC331 supports and anchoring	Package Integrity - HM	8
178.337-17(a)	MC331 Metal identification plate missing	Package Integrity - HM	8
178.337-8(a)	MC331 Outlets general requirements	Package Integrity - HM	8
178.337-8(a)(2)	MC331 Outlets	Package Integrity - HM	8

[Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
178.337-8(a)(3)	MC331 Internal or back flow valve	Package Integrity - HM	8
178.337-8(a)(4)(i)	MC331 Remote closure device >3500 gal	Package Integrity - HM	8
178.337-8(a)(4)(ii)	MC331 Remote closure device <3500 gal	Package Integrity - HM	8
178.337-9	MC331 Pressure relief devices	Package Integrity - HM	8
178.337-9(c)	MC331 Marking inlets/outlets	Package Integrity - HM	8
178.338-10(a)	MC338 Protection of fittings	Package Integrity - HM	8
178.338-10(c)	MC338 Rear end protection	Package Integrity - HM	8
178.338-10D ³	MC338 Minimum Ground Clearance	Package Integrity - HM	8
178.338-11(b)	MC338 Manual shutoff valve	Package Integrity - HM	8
178.338-11C ³	Missing or Defective Thermal and Mechanical Remote Closure Device	Package Integrity - HM	8
178.338-12	Shear section MC338	Package Integrity - HM	8
178.338-13	MC338 Supports and anchoring	Package Integrity - HM	8
178.338-18(a)	MC338 Name plate and/or Specification plate missing	Package Integrity - HM	8
178.338-6	Manhole MC338	Package Integrity - HM	8
178.338-8	Pressure relief devices MC338	Package Integrity - HM	8
178.340-10(b)	MC306/307/312 metal certification plate missing	Package Integrity - HM	8
178.340-6	MC306/307/312 supports and anchoring	Package Integrity - HM	8
178.340-7(a)	MC306/307/312 ring stiffeners	Package Integrity - HM	8
178.340-7(c)	MC306/307/312 double bulkhead drain	Package Integrity - HM	8
178.340-7D ⁴	MC306/307/312 ring stiffeners	Package Integrity - HM	8
178.340-7(d)(2)	MC306/307/312 ring stiffener drain hole	Package Integrity - HM	8
178.340-8(a)	MC306/307/312 appurtenances attachment	Package Integrity - HM	8
178.340-8(b)	MC306/307/312 rearend protection	Package Integrity - HM	8
178.340-8(c)	MC306/307/312 overturn protection	Package Integrity - HM	8
178.340-8(d)(1)	MC306/307/312 piping protection	Package Integrity - HM	8
178.340-8(d)(2)	MC306/307/312 minimum road clearance	Package Integrity - HM	8
178.341-3(a)	MC306 no manhole closure	Package Integrity - HM	8

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
178.341-4(d)(1)	MC306 inadequate emergency venting	Package Integrity - HM	8
178.341-4(d)(2)	MC306 pressure activated vents	Package Integrity - HM	8
178.341-4(d)(3)	MC306 no fusible venting	Package Integrity - HM	8
178.341-5(a)	MC306 internal valves	Package Integrity - HM	8
178.341-5(a)(1)	MC306 heat actuated safety	Package Integrity - HM	8
178.341-5(a)(2)	MC306 remote control shutoff	Package Integrity - HM	8
178.342-3	MC307 manhole closure	Package Integrity - HM	8
178.342-4	MC307 venting	Package Integrity - HM	8
178.342-4(b)	Inadequate venting capacity	Package Integrity - HM	8
178.342-5(a)	MC307 internal valve	Package Integrity - HM	8
178.342-5(a)(1)	MC307 heat actuated safety	Package Integrity - HM	8
178.342-5(a)(2)	MC307 remote control shutoff	Package Integrity - HM	8
178.343-3	Manhole closure MC312	Package Integrity - HM	8
178.343-4	Venting MC312 (show calculations)	Package Integrity - HM	8
178.343-5(a)	MC 312 top outlet and valve	Package Integrity - HM	8
178.343-5(b)(1)	MC312 bottom valve/piping protection	Package Integrity - HM	8
178.345-1(i)(2)	DOT406/407/412 Double bulkhead drain	Package Integrity - HM	8
178.345-5(d)	DOT406/407/412 manhole securement	Package Integrity - HM	8
178.345-5(e)	DOT406/407/412 manhole marking	Package Integrity - HM	8
178.345-6 ⁵	DOT406/407/412 supports & anchoring	Package Integrity - HM	8
178.345-7(d)(4)	DOT406/407/412 ring stiffener drain	Package Integrity - HM	8
178.345-8(a)	DOT406/407/412 accident protection	Package Integrity - HM	8
178.345-8(a)(5)	DOT406/407/412 minimum road clearance	Package Integrity - HM	8
178.345-8(b)	DOT406/407/412 bottom damage protection	Package Integrity - HM	8
178.345-8(c)	DOT406/407/412 rollover damage protection	Package Integrity - HM	8
178.345-8(d)	DOT406/407/412 rear end protection	Package Integrity - HM	8
178.345-10	DOT406/407/412 Pressure Relief	Package Integrity - HM	8

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
178.345-11(b)	DOT406/407/412 tank valves	Package Integrity - HM	8
178.345-11(b)(1) ⁵	DOT406/407/412 self closing system and remote means of closure	Package Integrity - HM	8
178.345-14(b)	DOT406/407/412 name plate	Package Integrity - HM	8
178.345-14(c)	DOT406/407/412 specification plate	Package Integrity - HM	8
178.703(a)	IBC manufacturer markings	Package Integrity - HM	8
178.703(b)	IBC additional markings	Package Integrity - HM	8
178.704(e)	IBC bottom discharge valve protection	Package Integrity - HM	8
178.910 ^[3]	Failure to comply with Large Packaging Marking specifications	Markings - HM	5
178.1010 ^[3]	No or improper marking of Flexible Bulk Containers	Markings - HM	5
179.300-12	DOT106/110aw protection of fittings	Package Integrity - HM	8
179.300-13	DOT106/110aw venting and valves	Package Integrity - HM	8
179.300-15	DOT106/110aw safety relief devices	Package Integrity - HM	8
179.300-18	DOT106/110aw stamping of tanks	Package Integrity - HM	8
180.3 ^Δ	Represent a package as meeting a specification that does not meet a specification	Package Integrity - HM	8
180.205(c)	Periodic requalification of cylinders	Package Testing - HM	7
180.207B	Periodic inspection of UN cylinders	Package Testing - HM	7
180.213(d)	Requalification markings of cylinders	Package Testing - HM	7
180.217	MEGCs Periodic requalification	Package Testing - HM	7
180.352(b)	Rigid IBC retest date marking	Package Testing - HM	7
180.352C ⁵	Visual inspection for flexible, fiberboard or wooden IBCs	Package Testing - HM	7
180.352(e)	IBC retest date marking	Package Testing - HM	7
180.352F ⁴	Failure to mark IBC periodic retest date	Package Testing - HM	7
180.405(b)	Cargo tank specifications	Package Testing - HM	7
180.405(j)	Cargo tank withdrawal certification	Package Testing - HM	7

Table A-6. SMS Hazardous Materials Compliance BASIC Violations¹			
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
180.405K	Failure to mark a specification cargo tank with a Maximum Allowable Working Pressure of at least 3 psi	Cargo Protection - HM	4
180.407A	Failure to test / inspection a specification cargo tank when due	Package Testing - HM	7
180.407B ³	Fail to test/inspect a specification cargo tank when damaged	Package Testing - HM	7
180.415(b)	Cargo tank test or inspection markings	Package Testing - HM	7
180.416G	Damaged liquid discharge hose	Package Testing - HM	7
180.519 ⁵	DOT 106 and 110 Multi-unit tank car tank retest date markings	Package Testing - HM	7
180.605(k)	Test date marking	Package Testing - HM	7
385.403	No HM Safety Permit	Documentation - HM	3
397.2	Must comply with rules in Parts 390-397 of the FMCSR when transporting	HM Other	2
397.5(a)	Unattended explosives 1.1/1.2/1.3	Fire Hazard - HM	6
397.5(c)	Unattended hazmat vehicle	Cargo Protection - HM	4
397.7(a)	Improperly parked explosives vehicle	Fire Hazard - HM	6
397.7(b)	Improperly parked hazmat vehicle	Fire Hazard - HM	6
397.11(a)	Hazmat vehicle operated near open fire	Fire Hazard - HM	6
397.11(b)	Hazmat vehicle parked within 300 ft. of fire	Fire Hazard - HM	6
397.15	HM vehicle fueling violation	Fire Hazard - HM	6
397.17	Failure to examine tires on hazmat vehicle before trip	HM Other	2
397.19	Failure to furnish driver with instructions and documents for Division 1.	Documentation - HM	3
397.19(c)	Required documents or instructions not in drivers possession for Division	Documentation - HM	3
397.67	HM vehicle routing violation (non RAM)	HM Route	1
397.101(b)	RAM vehicle not on preferred route	HM Route	1
397.101(d)	No or incomplete route plan for radioactive materials	HM Route	1
397.101(e)(2)	Driver not in possession of training certificate	HM Route	1
397.101(e)(3)	Driver not in possession of written route plan as required in 397.101(d) - RAM Shipments	HM Route	1

Driver Fitness Violations

[Table A-7. SMS Driver Fitness BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
383.21	Operating a CMV with more than 1 driver license	License-related: High	8
383.23(a)(2)	Operating a CMV without a CDL	License-related: High	8
383.23A2-DT ³	Operating a CMV without a valid CDL: No double- or triple-trailer endorsement	License-related: High	8
383.23A2-H ³	Operating a CMV without a valid CDL: No hazardous materials / dangerous goods endorsement	License-related: High	8
383.23A2-P ³	Operating a CMV without a valid CDL: No passenger vehicle endorsement	License-related: High	8
383.23A2-R	Operating a CMV without a valid CDL: Violation of air brake restriction	License-related: High	8
383.23A2-S ³	Operating a CMV (School Bus) without a valid CDL: No school bus endorsement as described in 383.93(b)(5).	License-related: High	8
383.23A2-T ³	Operating a CMV without a valid CDL: No Tank Vehicle endorsement	License-related: High	8
383.25A1	Operating on learner permit without a CDL holder	License-related: High	8
383.25A2	Operating on a CDL learners permit without a valid regular operators license	License-related: High	8
383.25A5I ³	Operating a CMV with a Commercial Learner's Permit transporting passengers requiring the passenger (P) endorsement	License-related: High	8
383.25A5II ³	Operating a CMV with a Commercial Learner's Permit transporting passengers requiring the school bus (S) endorsement	License-related: High	8
383.25A6 ³	Operating a CMV with a Commercial Learner's Permit transporting hazardous materials as defined in 383.5	License-related: High	8
383.51(a)	Driving a CMV while disqualified from holding a CDL	License-related: High	8
383.51A-NSIN	Driving a CMV while CDL is suspended for a non-safety-related reason and in the state of driver's license issuance.	License-related: Medium	5
383.51A-NSOUT	Driving a CMV while CDL is suspended for a non-safety-related reason and outside the state of driver's license issuance.	License-related: Low	1
383.51A-SIN	Driving a CMV while CDL is suspended for a safety-related or unknown reason and in state of driver's license issuance.	License-related: High	8
383.51A-SOUT	Driving a CMV while CDL is suspended for safety-related or unknown reason and outside the state of driver's license issuance	License-related: Medium	5
383.71H	Failing to submit medical certification documentation as required.	Medical Certificate	1

[Table A-7. SMS Driver Fitness BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
383.91(a)	Operating a CMV with improper CDL group	License-related: High	8
383.93(b)(1)	No double or triple trailer endorsement on CDL	License-related: High	8
383.93(b)(2)	No passenger vehicle endorsement on CDL	License-related: High	8
383.93(b)(3)	No tank vehicle endorsement on CDL	License-related: High	8
383.93(b)(4)	No hazardous materials endorsement on CDL	License-related: High	8
383.93(b)(5)	Operating a School Bus without a school bus endorsement as described in 383.93(b)(5)	License-related: High	8
383.95(a)	Violating airbrake restriction	License-related: High	8
390.35B-MED	Operating a CMV while possessing a fraudulent medical certificate	Fraud	10
391.11(b)(1)	Driving a CMV in Interstate Commerce and driver is less than 21 years of age	General Driver Qualification	4
391.11(b)(2)	Driver cannot read or speak the English language sufficiently to respond to official inquiries.	General Driver Qualification	4
391.11B2S	Driver must be able to understand highway traffic signs and signals in the English language	General Driver Qualification	4
391.11(b)(4)	Driver not physically qualified	Physical	2
391.11B4-DEN ³	Driver operating a CMV without proper endorsements or in violation of restrictions.	License-related: High	8
391.11(b)(5)	Driver lacking valid license for type vehicle being operated	License-related: High	8
391.11B5-DEN	Driver operating a CMV without proper endorsements or in violation of restrictions.	License-related: High	8
391.11B5-DNL	Driver does not have a valid operator's license for the CMV being operated.	License-related: High	8
391.11(b)(7)	Driver disqualified from operating CMV	License-related: High	8
391.15(a)	Driving a CMV while disqualified	License-related: High	8
391.15A-NSIN	Driving a CMV while disqualified. Suspended for non-safety-related reason and in the state of driver's license issuance.	License-related: Medium	5
391.15A-NSOUT	Driving a CMV while disqualified. Suspended for a non-safety-related reason and outside the state of driver's license issuance.	License-related: Low	1

[Table A-7. SMS Driver Fitness BASIC Violations¹](#)

Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight²
391.15A-SIN	Driving a CMV while disqualified. Suspended for safety-related or unknown reason and in the state of drivers license issuance.	License-related: High	8
391.15A-SOUT	Driving a CMV while disqualified. Suspended for a safety-related or unknown reason and outside the driver's license state of issuance	License-related: Medium	5
391.41(a)	No medical certificate in driver's possession	Medical Certificate	1
391.41A-F	Operating a property-carrying vehicle without possessing a valid medical certificate.	Medical Certificate	1
391.41A-FPC	Operating a property-carrying vehicle without possessing a valid medical certificate. Previously Cited on [DATE]	Medical Certificate	1
391.41A1-FPC ³	Operating a property-carrying vehicle without possessing a valid medical certificate. Previously Cited on [DATE]	Medical Certificate	1
391.41A-P	Operating a passenger-carrying vehicle without possessing a valid medical certificate.	Medical Certificate	1
391.41A1-P ³	Operating a passenger-carrying vehicle without possessing a valid medical certificate.	Medical Certificate	1